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Date: May 15, 2002

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To: Commissioner of Patents and
Trademarks

From: Carol A. Schneider, Ph.D., J.D.

cc:

No. of Pages: 8
(including cover page)

Serial No.: 09/976,598

Filed: October 12, 2001

For: DISEASE PREVENTION BY REACTIVATION OF THE THYMUS

Group Art Unit: 1646

Our Ref: 156857-0044

VIA FACSIMILE
TECH CENTER 1600/2900

Sent by: Cynthia

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Please see attached Request for Corrected Filing Receipt and Preliminary Amendment

Original will be sent via: ☐ Mail ☐ Pouch ☐ Messenger ☐ Overnight Courier ☐ Will not be sent

Patent
Atty Docket No. 156857-0044

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

RECEIVED

In re the Application of:

Richard L. BOYD

Serial No.: 09/976,598

Filed: October 12, 2001

For: DISEASE PREVENTION BY
REACTIVATION OF THE THYMUS

Group Art Unit: 1646

Examiner: Not yet assigned

JUN 10 2002

TECH CENTER 1600/2900

REQUEST FOR CORRECTED FILING RECEIPT

Commissioner for Patents
Washington, D.C. 20231

Sir:

Applicant respectfully requests that a corrected Filing Receipt issue for the above-referenced application. The Filing Receipt issued by the U.S. Patent and Trademark Office does not indicate all of the previously filed applications from which priority is claimed.

The original specification (page 1 enclosed) did not contain all of the prior art information. In that regard, submitted herewith is a Preliminary Amendment which corrects the first paragraph of the specification. A copy of the Filing Receipt with changes noted thereon is also attached.

On the Filing Receipt, below the list of the Applicant(s), please insert as follows:

CERTIFICATE OF TRANSMISSION

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being transmitted via facsimile ((703) 308-7751) on the date shown below to the Commissioner for Patents, Washington, D.C. 20231.

May 15, 2002

Date of Transmission

Janet Kornbluth

Patent

Atty Docket No. 156857-0044

Serial No.: 09/976,598

DOMESTIC PRIORITY DATA AS CLAIMED BY APPLICANT:

This application is a CIP of 09/965,395	09/26/2001
which is a CIP of 09/755,965	01/05/2001
and a CIP of 09/755,646	01/05/2001
and a CIP of 09/755,983	01/05/2001
and a CIP of 09/758,910	01/10/2001
and said 09/755,965 and 09/755,646 and 09/755,983 and 09/758,910	
are all CIPS of 09/795,286	10/13/2000
and CIPS of 09/795,302	10/13/2000
and said 09/795,286 AND 09/795,302	
are both CIPS of PCT/AU00/00329	04/17/2000

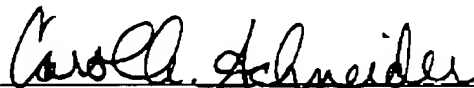
FOREIGN APPLICATIONS:

AUSTRALIA PR0745	10/13/2000
PCT/AU00/00329	04/17/2000
AUSTRALIA PP9778	04/15/1999

Applicants believe that no fee is necessary at this time. However, if any fees are associated with this request, the Commissioner is authorized to charge the necessary amount from our Deposit Account No. 09-0946.

Dated: May 15, 2002

Respectfully Submitted,



Carol A. Schneider, Ph.D., J.D.

Registration No. 34,923

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156857/0044

Patent

DISEASE PREVENTION BY REACTIVATION OF THE THYMUS

[0001] This application is a continuation-in-part of U.S. Serial No. ^{09/965,395}~~not yet available~~ filed September 26, 2001, which is a continuation in part of each of U.S. Serial No.

09/755,965 filed January 5, 2001, U.S. Serial No. 09/755,646 filed January 5, 2001, U.S.

5 Serial No. 09/755,983 filed January 5, 2001, and U.S. Serial No. 09/758,910 filed January 10, 2001, each of which is a continuation-in-part of U.S. Serial No. 09/795,286 filed October 13, 2000 which is a continuation-in-part of AU provisional application PR0745 filed October 13, 2000, and of U.S. Serial No. 09/795,302 filed October 13, 2000 which is a continuation-in-part of PCT AU00/00329 filed April 17, 2000, which is a PCT filing of AU provisional application
10 PP9778 filed April 15, 1999, each of which is incorporated herein by reference.

FIELD OF THE INVENTION

[0002] The present disclosure is in the field of disease prevention. In particular this invention is in the field of stimulating a patient's immune system through reactivation of the thymus, and optionally using gene therapy of hematopoietic stem cells (HSC), hematopoietic
15 progenitor cells, epithelial stem cells or bone marrow.

BACKGROUND OF THE INVENTION

THE IMMUNE SYSTEM

[0003] The major function of the immune system is to distinguish "foreign" antigens from "self" and respond accordingly to protect the body against infection. In normal immune
20 responses, the sequence of events involves dedicated antigen presenting cells (APC) capturing foreign antigen and processing it into small peptide fragments which are then presented in clefts of major histocompatibility complex (MHC) molecules on the APC surface. The MHC molecules can either be of class I expressed on all nucleated cells (recognized by cytotoxic T cells (Tc)) or of class II expressed primarily by cells of the immune system (recognized by helper
25 T cells (Th)). Th cells recognize the MHC II/peptide complexes on APC and respond; factors released by these cells then promote the activation of either of both Tc cells or the antibody producing B cells which are specific for the particular antigen. The importance of Th cells in virtually all immune responses is best illustrated in HIV/AIDS where their absence through destruction by the virus causes severe immune deficiency eventually leading to death.
30 Inappropriate development of Th (and to a lesser extent Tc) can lead to a variety of other diseases such as allergies, cancer and autoimmunity.



Norwood Abbey
 UNITED STATES PATENT AND TRADEMARK OFFICE

156857-441

COMMISSIONER FOR PATENTS
 UNITED STATES PATENT AND TRADEMARK OFFICE
 WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO.	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/976,598	10/12/2001	1646	480	156857-0044	34	25	2

29000
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CONFIRMATION NO. 3291

UPDATED FILING RECEIPT



OC000000006018168

Date Mailed: 05/03/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Richard Boyd, Prahran,

THIS APPLICATION IS A CIP OF 09/165,395
 WHICH IS A CIP OF 09/155,965
 AND A CIP OF 09/155,645
 AND A CIP OF 09/155,983
 AND A CIP OF 09/158,910

09/26/2001
 01/05/2001
 01/05/2001
 01/05/2001
 01/10/2001

AND SAID 09/155,965 AND 09/155,645 AND
 09/155,983 AND 09/158,910
 ARE ALL CIPS OF 09/195,286
 AND CIPS OF 09/195,302

10/13/2000
 10/13/2000

Domestic Priority data as claimed by applicant

AND SAID 09/195,286 AND 09/195,302
 ARE BOTH CIPS OF PCT/AU00/00329

04/17/2000

Foreign Applications

AUSTRALIA PRO745 10/13/2000
 PCT/AU00/00329 04/17/2000
 AUSTRALIA PP9778 04/16/1999

If Required, Foreign Filing License Granted 11/09/2001

Projected Publication Date: 08/15/2002

Non-Publication Request: No

Early Publication Request: No

** SMALL ENTITY **

Title

Disease prevention by reactivation of the thymus

Preliminary Class

530

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Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

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